**Check-List**

1. Applications to be submitted online at [www.capexil.com](http://www.capexil.com),
2. Print copy of Online Export Award Application 2016-17 duly certified by Competent Authority have to be submitted,
3. Export Awards Application Details Step -2 & 3 in Award Application has to be certified by a Chartered Accountant,
4. Copy of valid Small Enterprise (SSI) Certificate/ Industrial License/ IEM as the case may be, duly self-certified by Competent Authority must be submitted, and
5. Copy of Certification/Approvals/Recognitions duly self-certified by Competent Authority must be submitted as shown in page no.5.

**EXPORT AWARD SCHEME-A**

**A. Objective of Export Award:**

The Export Awards are conferred to honor and encourage individual firms who have taken great initiative in developing exports from India for products falling within the purview of CAPEXIL.

**B. Category of Awards:**

|  |  |
| --- | --- |
| Highest Export Award | Number of awards conferred- 3 |
| Top Export Award (Panel-wise) | Number of awards conferred - 16 |
| Special Export Award  (Panel and Item-wise) | Number of awards conferred – 71; Including 16 reserved for  Small Enterprises (SSI), (please see page 3 & 4) |
| Certificate of Merit | Number of awards conferred- subject to fulfillment of minimum eligibility criteria |

**HIGHEST EXPORT AWARD (No. of Awards-3)**

***Definition* –** Highest Export Award is conferred one each to

i) Minerals and Ores Sector ii) Non- Minerals Sector and iii) Canalized Agency.

***Eligibility Criteria***:

* Selection of Highest Export Award is based on the total value of exports (FOB) effected during

2016-17.

* The firm should not appear on the Denied Entity List of the O/o the DGFT at the time of selection.

**TOP EXPORT AWARD (No. of Awards-16)**

***Definition –*** One Top Export Award shall be awarded to the exporter from the respective panels for which they are empanelled with Capexil securing maximum export value (FOB) during 2016-17.

***Eligibility Criteria***:

* Manufacturer/Merchant-Exporters including Export Houses satisfying minimum export turnover of Rs.10.0 Crores (FOB) for Registered Small Enterprises (SSI) and Rs. 20.0 Crores (FOB) for Medium and Large Enterprises during 2016-17.
* Should not appear on the Denied Entity List of the O/o the DGFT at the time of selection.

**Note**: In case of panels having low export turnover, minimum criteria of Rs. 20.0 Crores to be relaxed to award the top performer of any of the panels.

**SPECIAL EXPORT AWARD (No. of Awards- Maximum 71)**

***Definition –*** Selection of firms shall be made on panel/product group-wise. Special Export Award shall be awarded to the exporters from the respective panel for which they are empanelled with Capexil. Export Performance is evaluated against five parameters, viz., (1) Export Turnover during year 2016-17, (2) Export Growth/Decline, (3) Export destinations, (4) Manufacturer-Exporters and (5) Certifications/Approvals/ Recognitions.

***Eligibility Criteria***:

* Manufacturer/Merchant-Exporters including Export Houses satisfying minimum export turnover of Rs. 10.0 Crores for Registered Small Enterprises (SSI) and Rs. 15.0 Crores for Medium and Large Enterprises (FOB value) during 2016-17
* Should not appear on the Denied Entity List of the O/o the DGFT at the time of selection
* The firm with NIL export in any of the preceding three years will not be eligible for Special Export

Award

* Firms securing 70 marks or more out of 100 will only be eligible for Special Export Award

Selection of firms for Special Export Award will be made after examination of the actual performance of export of items in enrolled panel under the Export Award Scheme- B and on the strength of marks

allotted, the basis of which will be as follows:

|  |  |
| --- | --- |
| **(i) Export Performance:**  (0.20 mark for each Rs. 10 lakhs of export) | **(Total Marks=60)** |
| **(ii) Export Growth / Decline:**  (0.20 mark for 1% increase in export as compared to the actual average export during the preceding 3 years and negative marks @ 0.10 mark for every 1% decline in export on the same basis.) | **(Total Marks=10)** |
| **(iii) Export Market:**  1 mark will be given for each country to which goods have been exported during the year under consideration. | **(Total Marks=10)** |
| **(iv) Manufacturing unit:**  Manufacturing units shall be given 10 marks extra. | **(Total Marks=10)** |
| **(v)Certifications/Approvals/Recognitions:**  Maximum mark of 10 will be given for any certifications/approvals/recognitions from the enclosed list. | **(Total Marks=10)** |

**CERTIFICATE OF MERIT**

***Definition –*** Manufacturer/Merchant-Exporters including Export Houses satisfying the following minimum criteria shall be considered for grant of **Certificate of Merit.**

***Eligibility Criteria***:

* Small Enterprise (SSI): Rs. 10.0 Crores (FOB) during 2016-17
* Medium and Large Enterprises: Rs. 12.0 Crores (FOB) during 2016-17
* Should not appear on the Denied Entity List of the O/o the DGFT at the time of selection

**EXPORT AWARD SCHEME-B**

**SPECIAL EXPORT AWARD :**

Selection of firm for Special Export Award will be made on Panel/Product groupwise and there will be

71 such Special Awards out of which 16 will be exclusively reserved for S.S.I. Sector – one for each panel as detailed below:

|  |  |  |
| --- | --- | --- |
| **SL No.** | **Name of the Panel / Product Group** | **No. of Special**  **Awards** |
| 1 | **NATURAL STONES & PRODUCTS**  (i) Granite Monument  (ii) Granite Building Slabs (Polished, unpolished, etc.)  (iii) Granite Tiles  (iv) Granite Cobbles Stones, Sculpture and other Granite Products  (v) Granite Dimensional Blocks  (vi) Marble Block  (vii) Marble Slabs & Tiles  (viii) Other Stones & Products thereof | 8 + 1 (Reserved)  1  1  1  1  1  1  1  1 |
| 2 | **PROCESSED MINERALS**  (i) Calcined Alumina  (ii) Other Processed Minerals | 2 + 1 (Reserved)  1  1 |
| 3 | **BULK MINERALS AND ORES**  (i) Iron Ore  (ii) Other Bulk Minerals and Ores Incl. Salt, Coal and Aluminium  Metal | 2 + 1 (Reserved)  1  1 |
| 4 | **RUBBER PRODUCTS**  (i) Cycle Tyres and Tubes  (ii) Conveyor, Transmission, V Belts  (iii) Automotive Rubber Component  (iv) Latex, Dipped & Medical Including Contraceptives  (v) Rubber Sheets & Mats  (vi) Gasket, Washers & Seals and Rubber Hoses  (vii) Rubber Products nes | 8 + 1 (Reserved)  1  1  1  1  1  1  2 |
| 5 | **AUTO TYRES AND TUBES** | 2 + 1 (Reserved) |
| 6 | **PAINTS, PRINTING INK AND ALLIED PRODUCTS**  (i) Paints & Varnishes (incl. Wire Enamel)  (ii) Printing Ink  (iii) Pigments  (iv) Paints Raw Materials incl. Upgraded Ilmenite | 4 + 1 (Reserved)  1  1  1  1 |
| 7 | **GLASS AND GLASSWARE**  (i) Flat Glass other than Float Glass  (ii) Float Glass | 5 + 1 (Reserved)  1  1 |

|  |  |  |
| --- | --- | --- |
|  | (iii) Glass Table / Kitchenware  (iv) Vacuum Flasks and Refills  (v) Glass and Glassware nes | 1  1  1 |
| 8 | **PLYWOOD AND ALLIED PRODUCTS**  (i) Wooden Furniture & Wood Products  (ii) Sawn Timber  (iii) Veneer  (iv) Plywood and Allied Products nes | 4 + 1 (Reserved)  1  1  1  1 |
| **9** | **CERAMICS & ALLIED PRODUCTS INCLUDING REFRACTORIES**  (i) Refractories  (ii) Sanitaryware and Tiles  (iii) Porcelain Insulators  (iv) Other Ceramic Products and Abrasives | 4 + 1 (Reserved)  1  1  1  1 |
| 10 | **CEMENT, CEMENT CLINKER AND ASBESTOS CEMENT PRODUCTS**  (i) Other Cement and Clinker  (ii) White Cement | 2 + 1 (Reserved)  1  1 |
| 11 | **PAPER, PAPER BOARD AND PAPER PRODUCTS**  (i) Paper and Paper Board  (ii) Exercise Books  (iii) Printing & Writing Paper  (iv) Paper Bags and Boxes  (v) Paper Products nes | 5 + 1 (Reserved)  1  1  1  1  1 |
| 12 | **BOOKS, PUBLICATIONS AND PRINTING**  (i) Printed Books  (ii) Job Printing incl. Phototype Set Films  (iii) Newspapers, Periodicals and Journals  (if no exporter of job printer or journal etc. qualifies for the category then the Award (s) would be available for books publishers/exporters.) | 3 + 1 (Reserved)  1  1  1 |
| 13 | **OSSEIN AND GELATINE**  (i) Ossein  (ii) Gelatine | 2 + 1 (Reserved)  1  1 |
| 14 | **ANIMAL BY-PRODUCTS** | 1 + 1 (Reserved) |
| 15 | **MANUFACTURED PRODUCTS OF CARBON GRAPHITE, EXPLOSIVES AND ACCESSORIES**  (i) Graphite Electrodes and Products thereof  (ii) Explosive and Explosive Accessories | 2 + 1 (Reserved)  1  1 |
| 16 | **MISCELLANEOUS PRODUCTS** | 1 + 1 (Reserved) |

**Certifications/Approvals/Recognitions**

|  |  |  |  |
| --- | --- | --- | --- |
| **Sl. No.** | **Certifications/**  **Approvals/Recognitions** | **Description** | **Marks to be allotted** |
| 1 | **ISO 9001 : 2008** | Quality Management Systems that demonstrate companies ability to consistently provide products that meets customer's statutory and regulatory requirement. | 1 |
| 2 | **ISO 14001: 2004** | Environmental Management System. | 2 |
| 3 | **ISO 18001 OHSAS** | Occupational Health and Safety  Management. | 2 |
| 4 | **ISO/TS 16949 : 2009** | Quality Management Systems for automotive production and relevant  service part organizations. | 2 |
| 5 | **IRS** | Indian Register of Shipping IRCLASS. | 2 |
| 6 | **REACH Compliance** | Requirements of marketing products in  European Union countries. | 2 |
| 7 | **WRAS/ KTW/Australian**  **Water Authority** | Water Regulation Advisory Scheme for drinking Water. Guidelines are issued by  Federal Environmental Agency relates to  hygienic assessment of organic materials in contact with drinking water. | 1 |
| 8 | **CE Certification** | CE Marking is a mandatory conformity marking for products sold in the  European Economic Area. CE marking is  the manufacturer's declaration that the product meets the requirement of applicable EC directives. | 1 |
| 9 | **Food Grad Registration**  **(FDA)** | US FDA Food, Beverage and Dietary supplement regulations. | 1 |
| 10 | **DSIR Approval** | Directory of Recognized Scientific and  Industrial Research Organization. | 2 |
| 11 | **ISO/ IEC 17025 : 2008 (NABL)** | General requirements for the competence of testing and calibration laboratories. | 2 |
| 12 | **MSHA Approval** | Mine Safety and Health Administration. | 1 |
| 13 | **SA 8000** | Social Accountability. | 1 |
| 14 | **ISO 10002** | Quality Management System Standard for customer satisfaction and guidelines for  complaint handling. | 1 |
| 15 | **ISO 13485 : 2003** | Quality Management System for Medical  Devices. | 1 |
| 16 | **ISO 50001** | Energy Management Systems. | 1 |

**NOTES**

Ø Export Award Applications to be submitted at www.capexil.com by using authentic login id / password. For assistance related to login id/password, applicant may write to [exportaward@capexil.in](mailto:exportaward@capexil.in)

Ø **Print copy of Online Export Award Application 2016-17 duly certified by the Competent Authority of the member firm have to be submitted along with required documents as specified in page no. 1 under the head “Checklist” to CAPEXIL Head Office, Vanijya Bhavan, 3rd Floor, 1/1 Wood Street, Kolkata 700016 not later than the scheduled date**.

Ø **Please note that export figures in Export Award Application have to be certified by a Chartered Accountant.**

Ø It is mandatory to submit monthly export returns for the period from April 2016 to March

2017 and the same have to be submitted online [(www.capexil.com](http://www.capexil.com)) No application shall be processed if returns are not submitted through CAPEXIL portal [www.capexil.com](http://www.capexil.com)

Ø No application shall be considered unless the Set of Form and enclosures are complete in all respects. Please refer to the Check-list.

Ø No application shall be considered after the expiry of the due date.

Ø **Copy of valid Small Enterprise (SSI) Certificate/ Industrial License/ IEM as the case may be, duly self-certified by Competent Authority must be submitted.**

Ø **Certification/Approvals/Recognitions must be submitted along with the Export**

**Award Application.**

Ø Exports effected against H Form through a third-party shall not be taken into consideration for the purpose of selection of CAPEXIL Export Award.

Ø Only direct and deemed export shall be taken into account. Weightage at 75% will be given on deemed exports where payments are not received in foreign exchange. Deemed Export where payments are received in foreign exchange, the same will be treated at par with physical export for determining the total export turnover of the respective firms for the purpose of selection for CAPEXIL’s Export Award. Please mention in case the export realization received in foreign currency. Deemed Export performance would be considered as per foreign trade policy.

Ø Applicant firms belonging to small enterprises (SSI) must attach copy of valid registration certificates issued by the State Directorate of Industries/District Industries Centre etc. Small publishers/printers must attach certificates issued by the Competent Authority.

Ø In case of canalized items, export effected through MMTC / STC etc. will be taken into account and will be treated as direct export of the concerned firms for the purpose of selection for Export Award provided such firms have an active role in the procurement of export orders, cultivation of overseas markets, etc. and to this end, the views / opinion of the respective Panel Chairman regarding activities / performance of the concerned firms shall be taken as final.

Ø Member-firms are not eligible to receive more than one Special Award from same or different panels. Highest, Top and Special Export Awards will not be awarded simultaneously to one firm and similarly, firms getting Highest/Top/Special Export Awards will not be considered for Certificate of Merit.

Ø **Decision of Committee of Administration of CAPEXIL in regard to finalization of**

**CAPEXIL Export Award 2016-17 is final.**